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| RESEARCH PROPOSAL FORM |  | PROTOCOL |
|  |
| **Regular Research Award**  **FAPESP/NSF (CNIC - New International Collaborations)** |

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| **1) PRINCIPLE INVESTIGATOR - FAPESP (do not omit or abbreviate names)** | | | | | | | | |
|  | | | | | | | | |
| NAME: | | | | | | | | |
| FAPESP CODE: |  |  |  |  |  |  |  | ***(TO BE USED EXCLUSIVELY BY FAPESP)*** |
|  | | | | | | | | |
| 2) INSTITUTION/ENTITY WHERE RESEARCH IS TO BE DEVELOPED (SÃO PAULO) | | | | | | | | |
|  | | | | | | | | |
| ENTITY (University): | | | | | | | | |
| HOST INSTITUTION (Institute, College, Center): | | | | | | | | |
| DEPARTMENT: | | | | | | | | |

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| **3) PRINCIPAL INVESTIGATOR – NSF (National Science Foundation) (please do not omit or abbreviate names)** |
|  |
| NAME: |
|  |
| 4) INSTITUTION/ENTITY WHERE RESEARCH IS TO BE DEVELOPED (USA) |
|  |
| ENTITY (University): |
| HOST INSTITUTION (Institute, College, Center): |
| DEPARTMENT: |
| **5) PROJECT TITLE (please do not abbreviate)** |
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| **6) PROJECT TYPE (**[**according to FAPESP**](http://www.fapesp.br/2000) **list)** | | | | | | | | | | | | | | PROJECT DURATION |
|  | | | | | | | | | | | | | | |
| AREA OF EXPERTISE: | | | | | | | | | | | | | | PROPOSED START DATE: |
|  | | | | | | | | | | | | | | |
| CODE: |  |  |  |  |  |  |  |  |  |  | **- 0 0 -** |  |  | DURATION (MONTHS): |
|  | | | | | | | | | | | | | | |

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| **7) PROJECT’S KEY WORDS (up to six)** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 8) OTHER ONGOING FAPESP GRANTS RELATED TO THIS PROPOSAL (mandatory for related projects) | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 9) RESEARCH PROJECT SUMMARY |
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| **10) SUMMARY OF FUNDING REQUIRED FOR PROJECT – FAPESP (as shown in the Budget Worksheets for each type of expense and corresponding currency)** | | |
|  | | |
|  | **EXPENSES IN R$**  **(separate decimals with a comma)** | **EXPENSES IN US$**  **(separate decimals with a comma)** |
| EQUIPMENT |  |  |
| MATERIALS AND SUPPLIES |  |  |
| SERVICES |  |  |
| TRAVEL ALLOWANCE (PER DIEM) |  |  |
| TRAVEL EXPENSES |  |  |
| SCHOLARSHIPS (Technical Training Scholarships) |  |  |
| OTHER COSTS (please specifiy) |  |  |
| **TOTAL** |  |  |

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| 11) SUMMARY OF FUNDING REQUIRED FOR PROJECT – NSF (National Science Foundation) | |
|  | |
|  | **AMOUNT REQUESTED (US$)** |
| STAFF |  |
| EQUIPMENT |  |
| MATERIALS AND SUPPLIES |  |
| SERVICES |  |
| TRAVEL ALLOWANCE (PER DIEM) |  |
| TRAVEL EXPENSES |  |
| SCHOLARSHIPS |  |
| OTHER COSTS |  |
| **TOTAL** |  |

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| **12- TECHNICAL TRAINING SCHOLARSHIPS – FAPESP** | | |
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| **ARE YOU ALSO APPLYING FOR FAPESP TECHNICAL TRAINING SCHOLARSHIPS?** | YES | NO |
| **IF YOU HAVE SELECTED “YES", PLEASE SPECIFY THE LEVEL AND TOTAL NUMBER OF SCHOLARSHIPS REQUESTED** | | |

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| [**TECHNICAL TRAINING - TT**](http://www.fapesp.br/3098) | **NUMBER** | **HOURS DEDICATED PER WEEK** | **DURATION (months)** | **TOTAL REQUESTED (R$)** |
| **TOTAL TT** |  |  |  |  |
| LEVEL 1 |  |  |  |  |
| LEVEL 2 |  |  |  |  |
| LEVEL 3 |  |  |  |  |
| LEVEL 4 |  |  |  |  |
| LEVEL 4A |  |  |  |  |
| LEVEL 5 |  |  |  |  |

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| [**PARTICIPATION IN COURSES**](http://www.fapesp.br/1354) **– PC** | **NUMBER** | **DURATION (months)** | **TOTAL REQUESTED (R$)** |
| **TOTAL PC** |  |  |  |
| (The amount to be paid includes only school fees, to be discriminated under “SERVICES”) | | | |
| LEVEL 1 |  |  |  |
| LEVEL 2 |  |  |  |
| LEVEL 3 |  |  |  |
| LEVEL 4 - ABROAD |  |  |  |
| The requested scholarships, if granted, shall be properly registered in the **SAGe system as Scholarships awarded as items in the grant budget.** | | | |

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| **13) FAPESP SCHOLARSHIPS AND/OR GRANTS RELATED TO THIS PROPOSAL**  1 – Are there any FAPESP processes related to this proposal? If so, please inform below their corresponding process numbers.  2 – If any of the related processes is a Thematic Project, do you wish to formally entail this proposal as a “Supplemental funding request” to the Thematic Project? If so, please indicate to which one of them in the corresponding fields below. Please, also refer to the definition of “Supplemental funding requests” as described under “*Solicitação Complementar*” on <http://www.fapesp.br/176> ***item 7.4. Solicitações Complementares*** (in Portuguese). | | | | | | | | | | | | | | | | | | | | | | | | | |
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|  | **PROCESSES** | | | | | | | | | | | | | | | | | | | | | | **ENTAIL?** | | |
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|  |  | |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  | YES | NO |  |
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|  |  | |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  | YES | NO |  |
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|  |  | |  |  |  |  |  |  |  | **/** |  |  |  |  |  |  |  |  |  | **-** |  |  | YES | NO |  |
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| **14) GRANTS REQUESTED TO OR AWARDED BY OTHER FUNDING AGENCIES RELATED TO THE PROPOSAL (please indicate currency)** | | |
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| ENTITY | TOTAL REQUESTED | TOTAL AWARDED |
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| 1. **I declare to be aware of the project’s infrastructural needs and requirements and that the part of the Technical Reserve allocated to Research Infrastructural Expenses is destined towards the support of collective research infrastructure that benefits research projects supported by FAPESP in the Unit, according to the Employment Plan to be approved by the Unit’s collegiate body and submitted to FAPESP. The guidelines for the application of the part of the Technical Reserve allocated to Research Infrastructural Expenses can be found on** [**http://www.fapesp.br/rt**](http://www.fapesp.br/rt) **(in Portuguese).** 2. **Therefore, I declare that in case this Project is approved and for the duration of its contract, the researcher and group of researchers taking part in the project will have all the institutional support necessary for its development, as previously agreed with the principle investigator. Specially, the researcher and research group taking part in the Project will be granted physical space for the adequate installation and operation of the requested equipment, permission to use all facilities (laboratories, computer networks, library, databases etc.) and access to all services (lab technicians, administrative support etc.) available at the institution and that are relevant for its fulfillment. If the development of the project is hindered or made impracticable due to the non-fulfillment of this clause and without previous consent from FAPESP, the Institution commits itself to reimburse FAPESP’s expenditure towards it.** 3. **I am also aware that the Principal Investigator and the Host Institution are held totally responsible for requesting, obtaining, holding and showing whenever requested by FAPESP all applicable and legal authorizations required for the good execution of the Project. Such authorizations are to be issued by the competent official Agencies with whose policies the conduction of the research must comply as required by Law. (The currently required authorizations are described on** [**http://www.fapesp.br/4476**](http://www.fapesp.br/4476)**, in Portuguese). Because such authorizations can change according to the ongoing applicable legal requirements, it is strongly recommended that the Host Institution sector responsible for the pertinent orientation be consulted.** 4. **I am aware that the failure to fulfill the terms of this statement may compromise the course of future requests applied to FAPESP by researchers from this Unit.** |
| NAME: |
| POSITION/TITLE: |
| PLACE, DATE AND SIGNATURE: |

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| **13) APPLICANT STATEMENT**   1. **I declare to be aware of the procedures adopted by FAPESP for the analysis of requests in this program. I authorize this request to be analysed according to these procedures and especifically to submit it to the analysis of researchers chosen by FAPESP, whose identities will not be disclosed.** 2. **The pieces of information herein and those contained in my CV accompanying this proposal application were revised by myself and are correct and updated.** 3. **I am also aware that the Principal Investigator and the Host Institution are held totally responsible for requesting, obtaining, holding and showing whenever requested by FAPESP all applicable and legal authorizations required for the good execution of the Project. Such authorizations are to be issued by the competent official Agencies with whose policies the conduction of the research must comply as required by Law. (The currently required authorizations are described on** [**http://www.fapesp.br/4476**](http://www.fapesp.br/4476)**, in Portuguese). Because such authorizations can change according to the ongoing applicable legal requirements, it is strongly recommended that the Host Institution sector responsible for the pertinent orientation be consulted.** 4. **I am aware that the failure to fulfill the terms of this statement may compromise the analysis and the eventual granting of this proposal.** |
| PLACE, DATE AND APPLICANT’S SIGNATURE**:** |

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| 14) DOCUMENTS TO BE ATTACHED - (see detailed instructions at the Call for Proposals) | | |
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| Regular PROJECT - *ATTENTION: SUBMIT ONE (1) SET OF ALL DOCUMENTS, INCLUDING FORMS.* | Check-list | |
| *(MANDATORY DOCUMENTS REQUIRED FOR ANALYSIS)* *(PLEASE DO NOT BIND)* | Applicant | **FAPESP** |
| Research Proposal Form |  |  |
| [Principal Investigators’s Registration Form](http://www.fapesp.br/chamadas/nsf-cnic/regist.doc) (in Portuguese) |  |  |
| [Summary of the Principal Investigator’s CVs](http://www.fapesp.br/en/6351) and of each researcher involved on the Brazilian side.  IN ENGLISH (according to item **4.2.2** of the Call for Proposals) |  |  |
| Summary of the Principal Investigator’s CVs and of each researcher involved on the U.S. side.  (copies of the biosketches presented to NSF, according to item **4.2.2** of the CFP) |  |  |
| Abstract describing relevant results obtained from previous FAPESP Grants or Scholarships in which the Principal Investigator was involved either as a Principal Investigator or Grantee. Please list the projects’ titles and their corresponding FAPESP process codes (up to two pages). |  |  |
| [Research Team Description](http://www.fapesp.br/en/teamdescription.xls) in both countries (please use the specific spreadsheet for this purpose)  (according to item **4.2.3** of the CFP) |  |  |
| Research Proposal (up to ten pages), accompanied by its corresponding bibliography  (according to item **4.3** of the CFP) |  |  |
| When applicable, the corresponding activity plans for each one of **the Technical Capacity Scholarships requested.** |  |  |
| [Budget Worksheets (**please enclose detailed budget according to FAPESP standards)**](http://www.fapesp.br/chamadas/nsf-cnic/budget.xlsx) |  |  |
| *Pro forma* invoices issued by suppliers/authorized sellers/representatives. Presentation of three different *pro forma* invoices for each item of equipment is mandatory. **Please advise if there is solely one exclusive supplier.** |  |  |
| Project Budget Justification (one page)  (according to item **4.2.6** of the CFP) |  |  |
| Supplementary Documentation (if available)  (according to item **4.2.7** of the CFP) |  |  |
| [Description of the scientific equipment base of the institution(s) hosting the project (Appendix II)](http://www.fapesp.br/en/6126#ap2) |  |  |
| Resource disbursement schedule. **This document should be presented at the moment of the execution of the Granting Award, if the proposal is granted** (in Portuguese) |  |  |
| ***ATTENTION*: ALL REQUESTS THAT ARE NOT ACCOMPANIED BY THE MANDATORY DOCUMENTS FOR ANALYSIS WILL BE RETURNED.** |  |  |

**FAPESP, AUGUST, 2011**